

# STATE OF WASHINGTON PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm 206, PO Box 40908 \* Olympia, Washington 98504-0908 \* (360) 753-1111 \* Fax (360) 753-1112

Toll Free 1-877-601-2828 \* E-mail: pdc@pdc.wa.gov \* Website: www.pdc.wa.gov

# BEFORE THE PUBLIC DISCLOSURE COMMISSION OF THE STATE OF WASHINGTON

IN THE MATTER OF ENFORCEMENT	)	PDC CASE NO: 06-009
ACTION AGAINST	)	
	)	Notice of Administrative
Charles Alexander		Charges
	)	
Respondent.	)	
IT IS ALLEGED as follows:		

## I. JURISDICTION

Jurisdiction of this proceeding is based on Chapter 42.17 RCW, the Public Disclosure Act, Chapter 34.05, Administrative Procedure Act, and Title 390 WAC.

### II. ALLEGATIONS

Staff alleges, based on the facts specified in Section III, that Charles Alexander has violated RCW 42.17.240 by failing to timely file the annual Statement of Financial Affairs (PDC form F-1) by April 15, 2005. In accordance with WAC 390-37-160 (copy enclosed) this matter is being considered by the full commission.

### III. FACTS SUPPORTING ALLEGATIONS

The Respondent, Charles Alexander, is a School Director in Granger School District 204, 701 "E" Avenue, Granger, Washington 98932. Mr. Alexander's term of office ends in 2005, and he did not file for re-election. (Exhibit 1) Mr. Alexander held office during 2004 and was required to file a Statement of Financial Affairs (PDC form F-1) by April 15, 2005. On May 9, 2005, Mr. Alexander was sent a letter stating that the F-1 report due by April 15, 2005 had not been received. The letter stated that to avoid the possibility of enforcement, the F-1 needed to be filed

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by May 20, 2005. (Exhibit 2) The F-1 report has not been filed. (Exhibit 3) Mr. Alexander has held office as a school director in Granger School District 204 since 1994.

Past History – Since 2000, Mr. Alexander has had three prior violations for failing to timely file a required F-1 report. The prior violations occurred in 2000, 2002 and 2003 as detailed below. All outstanding penalties have been paid. The last F-1 filed by Mr. Alexander was in 2001. No F-1 was filed in 2004. However, enforcement was inadvertently not conducted for Mr. Alexander.

In 2000, Case #01-040, Mr. Alexander stipulated to a violation of RCW 42.17.240 for failing to timely file his annual F-1 report and paid a penalty of \$50 to avoid a brief enforcement hearing. The F-1 was filed.

In 2002, Case #02-357, at a brief enforcement hearing held June 4, 2002, Mr. Alexander was found in violation of RCW 42.17.240 for failing to timely file his annual F-1 report and was assessed a penalty of \$150. The penalty has been paid. The F-1 was not filed.

In 2003, Case #03-248, at a brief enforcement hearing held June 12, 2003, Mr. Alexander was found in violation of RCW 42.17.240 for failing to timely file his annual F-1 report and was assessed a penalty of \$500. The penalty has been paid. The F-1 was not filed.

RESPECTFULLY SUBMITTED this 31st day of August, 2005.

Philip E. Stutzman

Director of Compliance

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### **Exhibits & Enclosure**

Exhibit 1 Jurisdiction Card

Exhibit 2 May 9, 2005 Warning Letter

Exhibit 3 Affidavit signed by Jennifer Hansen

Enclosure WAC 390-37-160

## **Relevant Statutes**

RCW 42.17.240 Elected and appointed officials, candidates, and appointees—Reports of financial affairs and gifts, states in part: "(1) Every elected official and every executive state officer shall after January 1st and before April 15th of each year file with the commission a statement of financial affairs for the preceding calendar year...

RCW 42.17.241 details the content required in each report.

WAC 390-24-010 Forms for Statement of Financial Affairs, states in part: "The official form for statements of financial affairs as required by RCW 42.17.240 is designated 'F-1', revised 9/02..."

WAC 390-37-160 Statement of financial affairs (F-1) penalty schedule, states in part that on the fourth occasion (established violation) the matter shall be considered by the full commission.

Jurisdiction	Address	City	County	Zip Code	Jur. Email Address	Preparer	Telephone	Prep. Email Address
GRANGER SD 204	701 "E" AVE	GRANGER	YAKIMA	98932	childrs@gsd.wednet.edu	SANDY CHIL	509-854-1515	childrs@gsd.wednet.edu

# updated 1/24/05 cB

#### Officials

Last Name	First Name	Initial	Office	Position	Address	City	Zip Code	Email Addres	Year Te
ALEXANDER	CHARLES	L	SCHOOL DIR	1	111 BEAM ROAD	GRANGER	98932		2005
FLEMING	RONALD	L	SCHOOL DIF	4	PO BOX 424	GRANGER	989320424		2007
GOLOB	PAUL	D	SCHOOL DIF	3	130 CHERRY HILL RD	GRANGER	989329464		2007
SHINN	KYLE	E	SCHOOL DIF	5	3060 NEWQUIST ROAD	TOPPENISH	989489674		2005
SLAGG	CARL	L	SCHOOL DIF	2	620 EAKER RD	GRANGER	98932		2007

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May 9, 2005

CHARLES ALEXANDER 111 BEAM RD GRANGER WA 98932

SUBJECT: Missing Personal Financial Affairs Statement (PDC Form F-1)

Our records indicate that pursuant to RCW 42.17 (the public disclosure law) you were required to file a Personal Financial Affairs Statement by April 15, 2005. A review of your filings confirmed that we have not yet received your F-1 or F-1A report.

I am enclosing an F-1 and F-1 Supplement form for you to complete and file with the PDC. There are also templates of the F-1, F-1A, and F-1 Supplement that are available at <a href="www.pdc.wa.gov">www.pdc.wa.gov</a>, by clicking on "Filer Assistance" and then "Form Templates." The F-1 instruction manual is also available online under "Filer Assistance" and then click on "Manuals."

You may also electronically file your report by visiting our website and clicking on "Electronic Filing," and then on "Personal Financial Affairs Filer." You will be required to establish a user account, file a signature authorization card via U.S. mail, and submit your F-1 report online.

The F-1 report must be completed and filed with the PDC by May 20, 2005. Failure to file your report by that date may result in an enforcement matter being scheduled before the Commission that could result in the assessment of civil penalties.\*

If you have already filed the F-1 form in 2005, please contact our office immediately. If you need assistance, please contact **Jennifer Hansen** at **(360) 586-4560**, toll-free at 1-877-601-2828 or by email at jhansen@pdc.wa.gov. Thanks for your prompt attention to this matter.

Sincerely,

Kurt Young \ Compliance Officer

Enclosures: F-1 Form and F-1 Supplement Page

\* Please note that faxed reports are not accepted.

"The public's right to know of the financing of political campaigns and lobbying and the financial affairs of elected officials and candidates far outweighs any right that these matters remain secret and private."

RCW 42.17.010 (10)



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## BEFORE THE PUBLIC DISCLOSURE COMMISSION OF THE STATE OF WASHINGTON

STATE OF WASHINGT	ON)	
	) ss.	AFFIDAVIT OF
County of Thurston	)	JENNIFER I. HANSEN

I, Jennifer I. Hansen, being first duly sworn on oath depose and say:

I am a Political Finance Specialist for the Public Disclosure Commission and have been since March 22, 2004.

On August 31, 2005, I personally searched the electronic image files maintained in the offices of the Public Disclosure Commission for the Personal Financial Affairs Statement (PDC Form F-1) of Charles Alexander and found that, prior to August 31, 2005, no F-1 report, due by April 15, 2005, was filed with the Public Disclosure Commission as required by RCW 42.17.

In the above-described search of the files of the Public Disclosure Commission, I found no requests by Charles Alexander for a reporting modification or suspension, as authorized by RCW 42.17.370(9) and WAC 390-28 and, to the best of my knowledge, no requests for reporting modifications or suspensions have been received by the Public Disclosure Commission as of this date.

SUBSCRIBED AND SWORN to before me this 31th day of August, 2005.

Philips Stuffmar' NOTARY PUBLIC in and for the state of

Washington.

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My commission expires 6-20-07.

PhotopE. Stytzma

# WAC 390-37-160 Statement of financial affairs (F-1) penalty schedule.

Status	1st Occasion	2nd Occasion	3rd Occasion	4th Occasion
Failed to file report by date of enforcement hearing.	\$150	\$300	\$500	Full commission consideration
Filed report after hearing notice but before enforcement hearing. Did not pay settlement amount.	\$100	\$200	\$400	Full commission consideration
Filed report after hearing notice but before enforcement hearing. Provided written explanation or appeared at the hearing to		HE		
explain mitigating circumstances. Did not pay settlement amount.	\$0 - \$100	\$100 - \$200	\$200 - \$400	Full commission consideration

### Provisos:

- (1) The presiding officer has authority to suspend all or a portion of relevant penalty under the conditions to be determined by that officer.
- (2) If on the 3rd occasion, a filer has outstanding penalties or judgments, the matter will be taken to the full commission for consideration.
- (3) The presiding officer may direct a matter to the full commission if the officer believes five hundred dollars would be an insufficient penalty or the matter warrants consideration by the full commission.
- (4) If previously imposed penalties remain unpaid and exceed the amount this penalty schedule would otherwise prescribe for the current violation, the presiding officer may impose a penalty not to exceed the amount of the outstanding penalty, up to five hundred dollars.
- (5) "Occasion" means established violation. At the 4th occasion, among other factors, the commission may consider if any prior violations and penalties were stipulated to by the respondent, in determining the amount of the penalty.
- (6) Cases will automatically be scheduled before the full Commission for an enforcement action when the person:
  - (a) Was found in violation during a previous reporting period,
  - (b) The violation remains in effect following any appeals, and
- (c) The person has not filed the disclosure forms that were the subject of the prior violation at the time the current hearing notice is being sent.

[Statutory Authority: RCW 42.17.370. 05-04-038, § 390-37-160, filed 1/27/05, effective 2/27/05; 03-22-065, § 390-37-160, filed 11/4/03, effective 12/5/03.]